

The Honorable Robert S. Lasnik

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

CHERYL PETERSON-MILLER,

Plaintiff,

v.

NILFISK CORP. INC.; HATHAWAY NORTH
AMERICA, INC., D/B/A HATHAWAY
CAPITAL,

Defendants.

No. 17-cv-00520 RSL

STIPULATED PROTECTIVE ORDER


1. Purposes and Limitations

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery, the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles, and it does not presumptively entitle parties to file confidential information under seal.

2. "Confidential" Material

"Confidential" material shall include the following documents and tangible things produced or otherwise exchanged: (i) financial records, financial analytics and reports, documents, records and communications concerning or related to Defendants' financial information; (ii) documents and records containing information of a confidential, proprietary, or

STIPULATED PROTECTIVE ORDER - 1
(17-cv-00520 RSL)

 Jackson Lewis P.C.
520 Pike Street, Suite 2300
Seattle, Washington 98101
(206) 405-0404

1 trade secret nature, (iii) personnel records of any current or former employee of Defendant other
2 than the Plaintiff, (iv) Plaintiff's medical and psychological records.

3 **3. Scope**

4 The protections conferred by this agreement cover not only confidential material (as
5 defined above), but also (1) any information copied or extracted from confidential material;
6 (2) all copies, excerpts, summaries, or compilations of confidential material; and (3) any
7 testimony, conversations, or presentations by parties or their counsel that might reveal
8 confidential material.

9 However, the protections conferred by this agreement do not cover information that is in
10 the public domain or becomes part of the public domain through trial or otherwise.

11 **4. Access to and Use of Confidential Material**

12 **4.1 Basic Principles.** A receiving party may use confidential material that is
13 disclosed or produced by another party or by a non-party in connection with this case only for
14 prosecuting, defending, or attempting to settle this litigation. Confidential material may be
15 disclosed only to the categories of persons and under the conditions described in this agreement.
16 Confidential material must be stored and maintained by a receiving party at a location and in a
17 secure manner that ensures that access is limited to the persons authorized under this agreement.

18 **4.2 Disclosure of "Confidential" Information or Items.** Unless otherwise ordered
19 by the court or permitted in writing by the designating party, a receiving party may disclose any
20 confidential material only to:

21 (a) the receiving party's counsel of record in this action, as well as employees
22 of counsel to whom it is reasonably necessary to disclose the information for this litigation;

23 (b) the officers, directors, and employees (including in house counsel) of the
24 receiving party to whom disclosure is reasonably necessary for this litigation, unless the parties
25 agree that a particular document or material produced is for Attorney's Eyes Only and is so
26 designated;

1 (c) experts and consultants to whom disclosure is reasonably necessary for
2 this litigation and who have signed the "Acknowledgment and Agreement to Be Bound"
3 (Exhibit A);

4 (d) the court, court personnel, and court reporters and their staff;

5 (e) copy or imaging services retained by counsel to assist in the duplication of
6 confidential material, provided that counsel for the party retaining the copy or imaging service
7 instructs the service not to disclose any confidential material to third parties and to immediately
8 return all originals and copies of any confidential material;

9 (f) during their depositions, witnesses in the action to whom disclosure is
10 reasonably necessary and who have signed the "Acknowledgment and Agreement to Be Bound"
11 (Exhibit A), unless otherwise agreed by the designating party or ordered by the court. Pages of
12 transcribed deposition testimony or exhibits to depositions that reveal confidential material must
13 be separately bound by the court reporter and may not be disclosed to anyone except as permitted
14 under this agreement;

15 (g) the author or recipient of a document containing the information or a
16 custodian or other person who otherwise possessed or knew the information.

17 **4.3 Filing Confidential Material.** Before filing confidential material or discussing
18 or referencing such material in court filings, the filing party shall confer with the designating
19 party to determine whether the designating party will remove the confidential designation,
20 whether the document can be redacted, or whether a motion to seal or stipulation and proposed
21 order is warranted. Local Civil Rule 5(g) sets forth the procedures that must be followed and the
22 standards that will be applied when a party seeks permission from the court to file material under
23 seal.

24 **5. Designating Protected Material**

25 **5.1 Exercise of Restraint and Care in Designating Material for Protection.** Each
26 party or non-party that designates information or items for protection under this agreement must
27 take care to limit any such designation to specific material that qualifies under the appropriate
28 standards. The designating party must designate for protection only those parts of material,

1 documents, items, or oral or written communications that qualify, so that other portions of the
2 material, documents, items, or communications for which protection is not warranted are not
3 swept unjustifiably within the ambit of this agreement.

4 Mass, indiscriminate, or routinized designations are prohibited. Designations that are
5 shown to be clearly unjustified or that have been made for an improper purpose (e.g., to
6 unnecessarily encumber or delay the case development process or to impose unnecessary
7 expenses and burdens on other parties) expose the designating party to sanctions.

8 If it comes to a designating party's attention that information or items that it designated
9 for protection do not qualify for protection, the designating party must promptly notify all other
10 parties that it is withdrawing the mistaken designation.

11 **5.2 Manner and Timing of Designations.** Except as otherwise provided in this
12 agreement (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or
13 ordered, disclosure or discovery material that qualifies for protection under this agreement must
14 be clearly so designated before or when the material is disclosed or produced.

15 (a) **Information in documentary form:** (e.g., paper or electronic documents
16 and deposition exhibits, but excluding transcripts of depositions or other pretrial or trial
17 proceedings), the designating party must affix the word "Confidential" to each page that contains
18 confidential material. If only a portion or portions of the material on a page qualifies for
19 protection, the producing party also must clearly identify the protected portion(s) (e.g., by
20 making appropriate markings in the margins).

21 (b) **Testimony given in deposition or in other pretrial proceedings:** the
22 parties and any participating non-parties must identify on the record, during the deposition, or
23 other pretrial proceeding, all protected testimony, without prejudice to their right to so designate
24 other testimony after reviewing the transcript. Any party or non-party may, within 15 days after
25 receiving the transcript of the deposition or other pretrial proceeding, designate portions of the
26 transcript, or exhibits thereto, as confidential. If a party or non-party desires to protect
27 confidential information at trial, the issue should be addressed during the pre-trial conference.
28

1 (c) **Other tangible items:** the producing party must affix in a prominent
 2 place on the exterior of the container or containers in which the information or item is stored the
 3 word "Confidential." If only a portion or portions of the information or item warrant protection,
 4 the producing party, to the extent practicable, shall identify the protected portion(s).

5 **5.3 Inadvertent Failures to Designate.** If timely corrected, an inadvertent failure to
 6 designate qualified information or items does not, standing alone, waive the designating party's
 7 right to secure protection under this agreement for such material. Upon timely correction of a
 8 designation, the receiving party must make reasonable efforts to ensure that the material is
 9 treated in accordance with the provisions of this agreement.

10 **6. Challenging Confidentiality Designations**

11 **6.1 Timing of Challenges.** Any party or non-party may challenge a designation of
 12 confidentiality at any time. Unless a prompt challenge to a designating party's confidentiality
 13 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic
 14 burdens, or a significant disruption or delay of the litigation, a party does not waive its right to
 15 challenge a confidentiality designation by electing not to mount a challenge promptly after the
 16 original designation is disclosed.

17 **6.2 Meet and Confer.** The parties must make every attempt to resolve any dispute
 18 regarding confidential designations without court involvement. Any motion regarding
 19 confidential designations or for a protective order must include a certification, in the motion or in
 20 a declaration or affidavit, that the movant has engaged in a good faith meet and confer
 21 conference with other affected parties in an effort to resolve the dispute without court action.
 22 The certification must list the date, manner, and participants to the conference. A good faith
 23 effort to confer requires a face-to-face meeting or a telephone conference.

24 **6.3 Judicial Intervention.** If the parties cannot resolve a challenge without court
 25 intervention, the designating party may file and serve a motion to retain confidentiality under
 26 Local Civil Rule 7 (and in compliance with Local Civil Rule 5(g), if applicable). The burden of
 27 persuasion in any such motion shall be on the designating party. Frivolous challenges, and those
 28 made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on

1 other parties) may expose the challenging party to sanctions. All parties shall continue to
2 maintain the material in question as confidential until the court rules on the challenge.

3 **7. Protected Material Subpoenaed or Ordered Produced in Other Litigation**

4 If a party is served with a subpoena or a court order issued in other litigation that compels
5 disclosure of any information or items designated in this action as "CONFIDENTIAL," that
6 party must:

7 (a) promptly notify the designating party in writing and include a copy of the
8 subpoena or court order;

9 (b) promptly notify in writing the party who caused the subpoena or order to
10 issue in the other litigation that some or all of the material covered by the subpoena or order is
11 subject to this agreement. Such notification shall include a copy of this agreement; and

12 (c) cooperate with respect to all reasonable procedures sought to be pursued
13 by the designating party whose confidential material may be affected.

14 **8. Unauthorized Disclosure of Protected Material**

15 If a receiving party learns that, by inadvertence or otherwise, it has disclosed confidential
16 material to any person or in any circumstance not authorized under this agreement, the receiving
17 party must immediately (a) notify in writing the designating party of the unauthorized
18 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the protected material,
19 (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of
20 this agreement, and (d) request that such person or persons execute the "Acknowledgment and
21 Agreement to Be Bound" that is attached hereto as Exhibit A.

22 **9. Inadvertent Production of Privileged or Otherwise Protected Material**

23 When a producing party gives notice to receiving parties that certain inadvertently
24 produced material is subject to a claim of privilege or other protection, the obligations of the
25 receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This
26 provision is not intended to modify whatever procedure may be established in an e-discovery
27 order or agreement that provides for production without prior privilege review. The parties shall
28 agree to the entry of a non-waiver order under Fed. R. Evid. 502(d) as set forth herein.

10. Non-Termination and Return of Documents

Within 60 days after the termination of this action, including all appeals, each receiving party must return all confidential material to the producing party, including all copies, extracts and summaries thereof. Alternatively, the parties may agree upon appropriate methods of destruction.

Notwithstanding this provision, counsel are entitled to retain one archival copy of all documents filed with the court, trial, deposition, and hearing transcripts, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain confidential material.

The confidentiality obligations imposed by this agreement shall remain in effect until a designating party agrees otherwise in writing or a court orders otherwise.

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

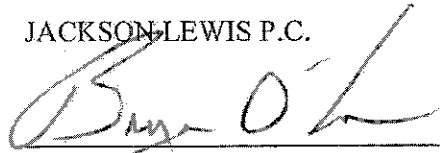
Dated this 26th day of January, 2018.

FULTON LAW PLLC



Robert Fulton, WSBA #29277
601 Union Street, Suite 4200
Seattle, WA 98101
Telephone: 425-780-4456
Robert@Fultonlawpllc.com
Attorneys for Plaintiff

JACKSON LEWIS P.C.



Bryan P. O'Connor, WSBA #23867
Chad E. Arceneaux, WSBA #40442
520 Pike Street, Suite 2300
Seattle, WA 98101
Telephone: 206-405-0404
bryan.oconnor@jacksonlewis.com;
chad.arceneaux@jacksonlewis.com
Attorneys for Defendants

1
2
3 **PURSUANT TO STIPULATION, IT IS SO ORDERED; AND,**

4 **IT IS FURTHER ORDERED** that pursuant to Fed. R. Evid. 502(d), the production of
5 any documents in this proceeding shall not, for the purposes of this proceeding or any other
6 proceeding in any other court, constitute a waiver by the producing party of any privilege
7 applicable to those documents, including the attorney-client privilege, attorney work-product
8 protection, or any other privilege or protection recognized by law.

9
10 DATED this 1st day of Feb, 2018.

11 
12 _____
13 The Honorable Robert S. Lasnik
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____, of _____, declare under
[print or type full name] [print or type full address]
penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order
that was issued by the United States District Court for the Western District of Washington on
_____ [date] in the case of *Peterson-Miller v. Nilfisk Corp. et al.*, U.S. District Court,
Western District of Washington, Case No. 17-cv-00520 RSL. I agree to comply with and to be
bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that
failure to so comply could expose me to sanctions and punishment in the nature of contempt. I
solemnly promise that I will not disclose in any manner any information or item that is subject to
this Stipulated Protective Order to any person or entity except in strict compliance with the
provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the
Western District of Washington for the purpose of enforcing the terms of this Stipulated
Protective Order, even if such enforcement proceedings occur after termination of this action.

DATED this ____ day of _____, 20__ in _____,
[City] [State]

Signature

4817-1200-2376, v. 1